

### **Contents**

### 01

### Ethics at University of Phoenix

- 03 How it all fits
- 04 Ethical principles
- 05 University of Phoenix leadership
  - Our Code and how it applies
- 06 Enforcing the Code
  - Asking questions and reporting concerns
- 07 Investigations and audits

### 02

### Personal responsibility

- 08 Conflicts of interest and corporate opportunities
- 09 Conflict of interest disclosure
  - Communications with fellow employees, students, the public, and others
- 10 Use of University of Phoenix resources
  - ☐ Gifts and entertainment
- 11 Education lenders

### 03

#### **Honesty**

- 12 Fair dealing
- 13 Fair competition
- 14 Public disclosures

### 04

### **Corporate citizenship**

15 Charitable contributions
Sustainability

### 05

### **Compliance**

- 17 U.S. Department of Education regulations
  - Sourcing integrity, false claims, and government contracts
- 18 Anti-bribery and anti-corruption
- 19 Records and data management
- 20 Confidentiality and privacy
- 21 Intellectual property

### 06

#### Our working environment

- 22 Equal opportunity, prohibition of discrimination, harassment, and retaliation
- Diversity, equity, inclusion, and belonging
  Our labor practices
- 24 Workplace safety
- 25 Seeking assistance

Workplace violence

# Ethics at University of Phoenix

#### How it all fits

Our vision, mission, and values work together to guide our actions, influence how we solve problems, and inform how we make decisions. These enable us in our commitment to serve and support our students.



#### **Core Values**

Core Values set the foundation for our culture by guiding our behaviors and the decisions we make.

We are Brave, Honest, Focused.

### Our ethical principles

- We conduct ourselves with honesty, integrity, and the highest ethical standards.
- We seek out and embrace diversity, equity, inclusion, and belonging - valuing differences in people, perspectives, and experiences.
- We contribute to the communities in which we work and live by being good corporate and individual citizens.
- · We honor our commitments and promises.
- We do not misrepresent to anyone who we are, what we do, or what services we provide.
- We abide by and comply with the laws, regulations, and rules that govern us wherever we operate.
- We will not sacrifice our values or our goals for short-term gains; we are focused on building lasting success, stability, and excellence.
- We are committed to providing world-class service to our students, customers, partners, stakeholders, and each other.



### Ethical decision-making

- Clearly identify the issue or concern.
- Know the facts.
- Consider those who may be impacted by the decision or action and how they'll be affected.
- Look at each available option, and consider whether each one is balanced and fair.
- Consult with experts on the issue.
- Use good judgment, keeping in mind our Core Values, this Code, and our University policies.

### University of Phoenix leadership

The University of Phoenix board of trustees is committed to principles of ethical leadership, board independence, oversight, and accountability. The board oversees University performance and acts in the best interests of the University and our stakeholders.

University leaders maintain positions of trust and, as such, model our Core Values and foster a culture of ethical conduct by:

- Establishing appropriate internal controls to ensure efficiency, accuracy, and timeliness of transactions and financial information
- Ensuring University employees are aware of their rights and responsibilities under University policies, applicable laws and regulations, and preventing fraud and abuse of University resources
- Understanding the risks to us and our stakeholders and taking necessary action to mitigate these risks

To carry out these responsibilities, University leadership, with the approval of the board, has established the University Ethics, Compliance, and Data Privacy (ECDP) department.

### Our Code and how it applies

The University's board has adopted and approved this Code of Ethics. All employees of University of Phoenix acknowledge their responsibility to adhere to the Code and University policies. Additionally, any outside consultants, contractors, suppliers, and agents hired by the University are expected to abide by this Code when performing services on our behalf. The University also administers a Faculty Code of Conduct, a Student Code of Conduct, and various additional policies that apply to these audiences.

### How to promote the Code

- Ensure any advice given to others (internally or externally) is correct, legal, timely, and complete.
- Model our Core Values, this Code of Ethics, and our Student and Faculty Codes of Conduct (as applicable).



### **Enforcing the Code**

When the University receives information regarding an alleged violation of this Code, we take prompt action to evaluate the allegation and determine whether an informal inquiry or a formal investigation is necessary. Violations of this Code may result in corrective action up to and including termination, as well as referral to law enforcement, as appropriate.

### Asking questions and reporting concerns

We're here to help our University community understand their rights and responsibilities, offer guidance when ethical dilemmas arise, answer questions, and provide a variety of means to report and respond to concerns.

If anyone believes there has been a violation of our Code, University policy, or law or regulation, or witnesses what they believe to be illegal or unethical behavior, we want to know. Our employees and those who conduct business on the University's behalf have a responsibility to report concerns promptly and can report by any of the means provided that feels the most comfortable. In fact, not reporting a violation is itself a violation of our Code. Nothing in this Code is intended to discourage reporting illegal activity — including violation of any regulation, rule or law (federal, state, or foreign) — to the appropriate regulatory or legal authority.

Anyone can submit a report to our Ethics Helpline conveniently and discreetly, online or over the phone. All reports received are reviewed by ECDP promptly. We ask that reports be as specific as possible and include details such as: names, dates, times, places of the circumstances and individuals involved, as well as locations of evidence and contact information for any witnesses. Reports may also be made anonymously. After submitting a report, individuals are encouraged to call or check back online to review the status of the report, add any additional information, or ask questions.

## Ask a question or report a concern to:

- A manager or another leader
- Human Resources
- The Ethics Helpline, available 24 hours a day, 7 days a week, at 888-310-9569 or uopxhelpline.com
- ECDP at Office.ComplianceUOPX @phoenix.edu



Concerns regarding auditing, internal controls, or accounting irregularities should also be reported to the Ethics Helpline or ECDP directly to investigate such concerns, irrespective of their source or materiality, and, as appropriate, forward them to the University's board of trustees.

The University will maintain confidentiality and protect the identity of anyone who makes a complaint or reports a concern to the maximum extent possible. We will not disclose the identity of the individual reporting the concern or allegation unless such disclosure is unavoidable or the University is required by law to disclose the information.

We expect those who report concerns to do so in good faith, meaning the information being reported is true to the best of the individual's knowledge and includes all relevant information. Anyone who reports a concern in good faith is protected from retaliation that would adversely impact their relationship with the University. Reports of retaliation are also thoroughly and promptly reviewed. Any employee who knowingly submits a false report will be subject to corrective action, up to and including termination.

### Investigations and audits

Our employees are expected to cooperate fully and provide accurate, timely, and complete information when involved in a discussion, audit, or a reporting or disclosure process with an internal or external auditor or investigator, law enforcement personnel or regulator.

In the event of a government investigation or audit, employees should contact University Legal Services regarding any additional procedures that may apply, including record preservation holds and similar protocols. Failure to cooperate in an audit or investigation may result in corrective action, up to and including termination.

Retaliation against anyone who participates in an investigation, inquiry, or audit is prohibited and may result in corrective action up to and including termination. To report retaliation, refer to the "Asking questions or reporting concerns" section within this Code.



# Personal responsibility

### Conflicts of interest and corporate opportunities

Outside professional activities, private financial interests, or the receipt of benefits from third parties can cause an actual or perceived divergence between the University mission and individual's private interest – a "conflict of interest." Conflicts of interest could adversely affect or appear to affect the University's credibility, objectivity, or fairness. We expect our employees with other professional or financial interests to disclose them in compliance with the University's Conflicts of Interest policies.

### A conflict of interest may exist when:

- Personal interests conflict with the interests of the University.
- Personal interests interfere or could interfere with the performance of duties.
- An employee may not be effective or objective in their University duties due to personal interests.
- An employee uses University time, information, assets or their position or influence at the University for personal gain.
- An employee's outside employment or board service, or that of an immediate family member, creates a conflict of interest or the appearance of a conflict of interest.
- There is the appearance of a conflict of interest.



#### Q&A

Q: I am a full-time employee at University of Phoenix and was recently asked to serve on the board of an educational technology company. Although I would not be serving on this other board on behalf of University of Phoenix, I think this activity would be beneficial to the University and myself. Is this allowed?

**A:** Although University of Phoenix encourages employees to actively participate in personal service on community and professional boards, there may be instances when your personal board service may conflict with the interests of the University. For that reason, employees who serve or are considering serving on boards of other organizations should disclose this information in advance for review by ECDP.

#### Conflict of interest disclosure

Conflicts, potential conflicts, and outside business opportunities must be disclosed to ECDP in advance or as soon as practicable for review and guidance. ECDP will determine whether an actual or potential conflict of interest exists, as well as outline any necessary expectations or appropriate resolutions. Additional information on conflicts of interest, outside employment, service on boards of directors, as well as the Conflict of Interest Disclosure Form, can be found on the ECDP intranet site.

### Communicating with fellow employees, students, the public and others

Our employees are expected to demonstrate our Core Values, professionalism, and discretion in any interactions that may relate to, reflect on, or impact the University. This means we are fair, honest, considerate, and respectful in all of our professional activities and communications. We do not place self-interest above business needs, students' needs, or the viewpoints and contributions of others and do not communicate in ways that damage relationships.

We are transparent regarding our operations, compliant with the rules that govern us, and committed to our students. We are thoroughly familiar with the University's policies relating to our individual areas of responsibility, such as admissions, financial aid, graduation criteria, academic standards, and educational methods. Communication that does not meet these expectations may result in corrective action, up to and including termination.

Our Public and Media Relations and Social Media policies provide that only specifically authorized personnel may act as University spokespersons. These policies are designed to protect our employees and to ensure our communications with our stakeholders and the public are accurate and consistent.

### Additional resources

Refer to the Policy Library, located on the employee intranet, for more information related to our Public and Media Relations, Social Media, Acceptable Use, and other University policies. If there are any questions related to appropriate actions or communications, contact ECDP at Office.

ComplianceUOPX@phoenix.edu.

Individuals who receive a request for comment on the University's behalf from the media or a third party, and who are not authorized to speak on behalf of the University, should forward the request to Public Relations. Additionally, before publishing, making a speech, giving an interview, or presenting at a conference on behalf of the University, employees should contact Public Relations and ECDP for guidance.

Employees who participate in social media activities are expected to demonstrate our ethical principles and Core Values. They must follow all relevant University policies, guidelines, and standards, including Information Security, Privacy, Social Media, Public and Media Relations, and Intellectual Property.

### Use of University resources

Our employees and anyone who conducts business on behalf of the University are expected to safeguard University information and use University resources wisely, considering efficiency, privacy, and cost. Good judgment and discretion should be exercised when using University systems, devices, internet access, or other funds or property, including when traveling on behalf of the University.

Minor, incidental, and infrequent personal use of University resources is sometimes inevitable and allowed if the cost to the University is insignificant and the use does not deplete the value of our assets, interfere with productivity, or create risk or liability to the University or its stakeholders.

#### Gifts and entertainment

Our employees who give or receive gifts or favors in the course of University business, or who provide or accept entertainment, should do so only when these activities are in accordance with the University's Gifts and Entertainment policy and do not create an expectation or inference of an obligation.

#### Q&A

**Q:** A student's personal computer crashed. They asked me to log into the student website and post a class assignment for them. Is this allowed?

A: No. It's never acceptable to request or use a student's login information for any reason. Students are required to protect their password and only the student may access the classroom and post information. In this instance, tell the student to speak with the instructor (faculty) about the issue and discuss potential alternative submission methods.

As with all other areas of our business, we want all dealings to be reasonable, legal, and transparent. Gifts and entertainment may be acceptable if unsolicited, infrequent, modest, intended for legitimate purposes, and reported when necessary. Likewise, gifts or entertainment that could be misused or misconstrued as a conflict of interest or that would violate any laws are unacceptable.

Some activities and gifts require review and approval in advance. Find more information about these requirements, review the Activity and Gift Requests site located on the employee intranet, or contact ECDP with any questions.

#### **Education lenders**

Our employees and their family members may not give or receive any gifts, gratuities, entertainment, or other favors of any value to or from any current or prospective education loan lender in connection with the University or its business.

Our employees who are involved in contractor selection, purchasing, or related approval processes concerning education loan lenders should refer to the "Sourcing integrity, false claims, and government contracts" section within this Code for additional information regarding these topics.

#### Q&A

Q: I manage a team of enrollment representatives. It's not uncommon for them to receive gift cards from grateful students. Our Gifts and Entertainment policy says our employees generally may not accept gifts from students or customers, but I'm curious, why not?

A: Accepting a gift for doing what's expected is not right, and certainly not the way we do business. Additionally, others may perceive the gift as a request for a favor or preferred service, or it may even be misconstrued as a bribe. Let your team know that when it comes to gifts, the right thing is to thank the student and politely decline.

#### More on Title IV

We receive federal student aid funding pursuant to Title IV of the United States Higher Education Act, as amended. All University employees who perform functions related to the administration of these funds are required to comply with the <a href="Student Loan Code">Student Loan Code</a> of Conduct, this Code, and related policies.



We are fair and honest in all our business dealings, including with respect to who we are, what we do, and what services we provide. We act with accountability, honor our promises, and ensure we fulfill our obligations to others.

We do not insult or disparage others, including our competitors and colleagues. We have processes in place to prevent, detect, and respond to any action or activity that is fraudulent, illegal, or unethical. We do not engage in or tolerate the following behaviors:

- · Dishonest or deceptive acts
- Embezzlement
- Forgery or alterations of negotiable instruments or other documents
- Unauthorized handling or reporting of transactions
- Falsification of records, including but not limited to student or employee records and financial statements
- Misrepresentation through false, erroneous, or misleading statements, or omissions of important facts in advertisements, promotions, marketing of courses, or programs of instruction, public disclosures, regulatory or legal filings, and reports or other communications and documents
- Taking unfair advantage of anyone through manipulation, concealment, abuse of privileged information, misrepresentation of facts, or any other unfair practice

### Reporting concerns

To report any activity that is fraudulent, illegal, or unethical, contact the Ethics Helpline at 888-310-9569 or uopxhelpline.com.



### Fair competition

We compete to win in a fair and open manner. Therefore, we comply with all applicable antitrust and competition laws, as well as with similar laws that are intended to prevent unfair business practices or restrict competition. We only gather information about our competitors and competing products and services by legal and ethical means. We do not ask others to disclose trade secrets unless they are authorized to do so.

To promote fair competition, we do not:

- · Discuss or agree to fix prices with our competitors
- Divide up or allocate territories, markets, contracts, or customers with our competitors
- Set unfair prices or attempt to monopolize or discriminate in our sales to certain customers
- Share confidential information with our competitors about pricing, costs, profits, customers, or other sensitive information that relates to our competitive practices or other University business
- Condition the sale of products or services on the purchase of other products or services from University of Phoenix
- Attempt to monopolize a market. When we have formal or informal discussions with competitors and suppliers in settings such as conferences and meetings, we do so in accordance with applicable University policies.
- Establish wage-fixing agreements and no-poaching agreements, such as:
  - Agreeing with individual(s) at another company about employee salary and other terms of compensation, either at a specific level or within a range
  - Agreeing with individual(s) at another company to refuse to solicit or hire that other company's employees

#### Public disclosures

We are committed to fair, accurate, complete, and timely filings with the U.S. Department of Education, state agencies, accreditors, and other regulators, and keeping applicable parties appropriately informed. To ensure the accuracy of our disclosures, only authorized employees can make disclosures to the public or speak on behalf of University of Phoenix.

### Authorized employees involved in the University's disclosure process must:

- Be familiar with our disclosure requirements and all University operations relevant to their area of expertise and the disclosures being made
- Accurately represent and ensure others accurately represent information about the University
- Properly analyze proposed disclosures for accuracy and completeness

#### Charitable contributions

We believe in giving back to the communities where we live, work, and serve. Through our University Mission, foundation, and commitment of time, money, and resources to charities, we strengthen our communities and improve society. We contribute to a variety of causes, including those focused on providing access to education, serving historically under served populations, promoting sustainable business practices, and supporting those who protect and defend us. We help improve society by expanding access to both quality education and safe, healthy environments where everyone can learn, explore, and grow personally and professionally.

Our employees may choose to contribute and participate as volunteers in the University's charitable works and projects or may participate through personal giving. However, University resources and assets may not be used in support of personal charitable activities and may not be committed to charitable works that are not approved by the University.

### Sustainability

We strive to conduct our operations and deliver education in an environmentally responsible manner. We are committed to complying with all applicable environmental laws and regulations, and we seek to prevent pollution, minimize waste, lower our carbon footprint, reduce greenhouse gas emissions, and conserve energy and natural resources whenever we can. We also strive to promote environmental stewardship through our philanthropy and sustainability-related degree programs.

## Learn more about corporate citizenship

The Policy Library, located on our employee intranet, contains our Giving and Volunteerism policy.



### We engage in sustainable business practices, including:

- Purchasing environmentally preferable supplies and services from environmentally responsible environmentally responsible sources
- Monitoring efficiency of our operations
- · Conserving water and energy
- Recycling, reusing, and utilizing recycled materials or materials from sustainable sources
- Involving our employees in sustainability efforts and surveys
- Promoting virtual work for our employees, where possible



### **Compliance**

### U.S. Department of Education regulations

We are subject to many education-related laws and regulations, including Title IV of the U.S. Higher Education Act of 1965, as periodically amended and reauthorized, and associated laws and regulations administered by the U.S. Department of Education. The University is directly and specifically committed to maintaining compliance with these and other applicable laws and regulations. The University employs legal experts and professionals with expertise concerning these laws and regulations. Our employees should not attempt to interpret the University's responsibilities related to these obligations without consulting our experts in this area. As needed, our employees will be informed of actions that may need to be taken and processes that apply to them to ensure our compliance.

### Sourcing integrity, false claims, and government contracts

We place great emphasis on conducting our strategic sourcing practices in a fair, ethical, and transparent manner. We engage strategic suppliers who share our values and, like us, want to build strong and lasting strategic relationships. We expect our business partners, contractors, and other suppliers to share our values and principles of ethical behavior.

We expect our employees involved in business partner, contractor, and/or supplier selection, purchasing, or related approval processes to act with objectivity, integrity, and care, consistent with our policies, procedures, and when applicable, the U.S. Procurement Integrity Act relating to government contracts.

### Procure more information

Refer to the Policy Library, located on the employee intranet, to learn more about procurement or government contract compliance.
Additionally, the Strategic Sourcing and Procurement department maintains specific procedures governing the procurement process that apply to employees engaged in these processes.



We strive to always be truthful and avoid false claims or statements to our business partners, contractors, and suppliers. We do not submit false claims to any party, including the U.S. government, for payment or approval, make or deliver a false receipt for government property, or knowingly buy property from a person who is not authorized to sell such property.

Our employees involved in preparing proposals, negotiating contracts, and performing pursuant to those contracts, including those related to government work, comply with all applicable laws and maintain the highest ethical standards in all communications and activities.

### Anti-bribery and anti-corruption

We believe in competing for business legally and ethically. Employees may not offer, give, or receive bribes, kickbacks, or other illegal payments in any form under any circumstance. Any employee who does so will be subject to corrective action, up to and including termination.

While the precise definition of what constitutes a "bribe" varies, it may be broadly defined as offering, promising, or making a payment of anything of value intending improperly to cause or influence the decisions of an individual, a company, or a government official to act in a specific way, or that creates an improper advantage. Bribes are intended to influence a person's decision; this includes causing a person to not act when they otherwise would.

Examples of a bribe may include:

- Contributions to a specific, desired charity at the direction of a person being bribed
- Corrupt payments disguised as legitimate expenses
- Provision of gifts outside of our Gifts and Entertainment policy

### Seeking assistance

The University's Anti-Bribery and Anti-Corruption policy is located in the Policy Library. If an employee believes there has been a Foreign Corrupt Practices Act or other bribery violation, the matter must immediately be reported to the Ethics Helpline at 888-310-9569 or uopxhelpline.com.

- · Giving a job to a family member
- Granting a scholarship to a family member of the person being bribed
- Inappropriate rebates or discounts
- Kickbacks (defined as a seller's return of part of the purchase price of an item to a buyer or buyer's representative for the purpose of inducing a purchase or improperly influencing future purchases)
- Meals, travel arrangements, or other forms of entertainment that are outside our Gifts and Entertainment policy
- · Quid pro quo arrangements
- Personal favors or services

Many countries, including the U.S., have anti-bribery and anti-corruption laws. The University complies with all applicable laws and related rules and restrictions.

### Records and data management

The University's records and data are valuable assets and must be managed with due care as set forth by legal and regulatory requirements as well as the expectation of our students and consumers. Our records, whether digital or physical, are maintained in accordance with policies, procedures, standards, and regulations. We utilize appropriate internal controls to ensure University records, including those submitted to government agencies or other accreditors or regulators, such as financial reports and disclosures, are complete, accurate, timely, and fairly reflect the transactions and activities of University of Phoenix and our students.

#### Q&A

**Q:** While working from home, can I print work documents that I use on a daily basis?

A: It is important to avoid printing documents whenever possible, not only to reduce waste but also to protect University proprietary or personal information. If you are required to print from home, you must also ensure that printed documents are maintained or destroyed in accordance with our Records and Data Management policy. Additional information is located on the ECDP intranet site.

Our Records and Data Management program specifically addresses the lifecycle of University records and provides a records retention schedule that identifies the length of time those records are to be retained and procedures for their disposal. Our employees, contractors, suppliers, and agents who do business with or on behalf of the University must manage University-related records according to our Records and Data Management policies.

In the event the University becomes aware of, or reasonably anticipates, expected litigation or a government investigation, prompt action must be taken to preserve all relevant data and information and suspend any related destruction processes.

### Confidentiality and privacy

We are entrusted with confidential information, including financial and personal information of prospective, current, and past employees, students, consumers, contractors, and suppliers as further described in the applicable University policies. We disclose our privacy policies and protect and use the information we receive with respect and care, in accordance with those policies and other applicable laws and regulations.

Everyone is responsible to protect confidential information at the University, and we have designated Privacy and Information Security teams to help ensure appropriate, commercially reasonable safeguards are in place to protect that information from misuse, compromise, or loss.

### Suggested further reading

For more information regarding our Records and **Data Management policy** and practices, refer to "Confidentiality and privacy" below and the "Intellectual property" section of this Code or the Records and Data Management page on the ECDP intranet site. To learn more about the University's Privacy, Employee Privacy, and Information Security policies, refer to our Privacy policy or the Policy Library located on the employee intranet.

### Intellectual property

We also have proprietary and intellectual property that must be protected. Examples include, but are not limited to:

- · Financial information about the University
- Business and marketing plans
- · University branding
- Academic strategy and agreements
- Technical information and innovation concerning University systems, software, and infrastructure
- Work by employees created in the scope of their employment
- Work completed by a contractor or supplier on behalf of the University

Our intellectual property is protected by patent, trademark, copyright, and trade secret laws. University intellectual property must be used for University purposes only, and only by employees whose job duties require them to access that information. Our employees are expected to maintain the confidentiality of the University's intellectual property, which is entrusted to them by the University, and this obligation continues even after their employment with the University ends. Our employees are also expected to maintain the confidentiality of the intellectual property of others with whom the University does business (e.g., affiliates, business-to-business clients, suppliers, and other potential business partners).

#### FYI on IP

The Intellectual Property policy, located in the Policy Library, contains more information related to proprietary and confidential information.

#### Q&A

Q: I've developed a tool that could help students improve their math skills. I'd like to market and sell my product to companies such as University of Phoenix and other educational institutions. I've used my own personal time and resources in the development of my tool, and although I'm a full-time employee of the University, I think I ought to have exclusive rights to market and sell it.

A: Even though you've used your personal time and resources to develop the math tool, there are other considerations that must be examined before concluding that the University has no proprietary rights regarding the tool. This requires review of the tool, your planned uses of it, and the circumstances under which it was developed. You should review the University's Intellectual Property policy as well as the Conflicts of Interest policy for further obligations that may need to be addressed.



## Our working environment

We are dedicated to the pursuit of excellence and are committed to treating each member of the University fairly and respectfully. We provide equal opportunities to everyone regardless of race (including traits historically associated with race, such as hair texture and protective hairstyles), color, religion, creed, sex, pregnancy (including lactation, childbirth, and related medical conditions), sexual orientation, gender, gender identity or expression, familial or marital status, age, physical or mental disability, medical condition (defined as any health impairment related to a diagnosis, record, or history of cancer), genetic information (including testing and characteristics), national origin, ancestry, ethnicity, citizenship, military or veteran status, reproductive health decisions, or any other status or characteristic protected by applicable federal, state, or local law.

### Equal opportunity; prohibition of discrimination, harassment, and retaliation

We make employment decisions based on merit, business needs, and University policy. We are an equal opportunity employer and comply with all applicable employment and labor laws and regulations. We are committed to a work environment that fosters trust, creativity, and excellence. We promise and expect that all our team members will treat each other, our colleagues, and those we serve with respect and dignity and expect others to act similarly. We do not tolerate discrimination, harassment, or retaliation of any kind. In the event of a violation, the University will take prompt action to stop the offending conduct, prevent its recurrence, and implement appropriate corrective action, up to and including termination.

### Help create a safer workplace

For additional information on our policies prohibiting discrimination, harassment, and retaliation, refer to the Policy Library.

#### Q&A

Q: My department began interviewing candidates for a new position. I was part of the interview committee with the hiring manager (also my direct manager). We had one outstanding candidate who was not chosen because the hiring manager felt "this candidate would not fit in well with the rest of our team." Instead, the hiring manager chose a candidate whose age was closer to that of the rest of our department, even though she was less qualified and interviewed poorly. What should I do?

A: You should discuss your concerns about the situation with a member of management or contact the Ethics Helpline or Human Resources so the issue can be addressed.

### Diversity, equity, inclusion, and belonging

We work in a diverse and ever-changing workplace and industry. We embrace and value our differences as they facilitate innovation and competitiveness. We encourage our teams to understand and value the uniqueness of their fellow employees, students, and other University stakeholders. To demonstrate our commitment to each other and our values, we expect our employees to treat everyone they encounter in the course of their work with dignity and respect.

### Our labor practices

We believe in treating each other fairly and with respect, and we protect and support human rights in all communities in which we operate.

We expect all our business partners, contractors, and suppliers to adhere to these same standards. We will never use child labor or forced labor, and we respect and comply with all relevant labor laws.

Each of our employees is responsible for creating a workplace that is safe, professional, supportive of each other and our ethical culture, and free from abuse of any kind. University of Phoenix is an equal opportunity employer and complies with all applicable laws in jurisdictions where we operate.

#### Q&A

**Q:** What should I do if I think I have been retaliated against at work?

A: Report it. The University expects all its employees to treat each other with dignity and respect. Speak with your manager about what is occurring or speak to your manager's manager. You may also contact ECDP, Human Resources, or the Ethics Helpline for assistance.



### Workplace safety

We are committed to providing a safe and healthy workplace and make every effort to adhere to all applicable compliance requirements related to occupational health and safety. All employees are responsible for ensuring workplace safety by taking reasonable precautions to prevent accidents and report unsafe conditions, including in home workspaces. In addition, employees are expected to adhere to all Centers for Disease Control and Prevention and Occupational Safety and Health Administration guidelines and state and local ordinances.

All employees are responsible for exercising common sense and sound judgment in the workplace and when conducting University business. We expect our employees will not engage in risky activities that may endanger themselves or others.

### Workplace violence

Violence and threats of violence are not tolerated at the University in any format, under any circumstances. Acts of violence or threats of violence include, but are not limited to, the following and could occur either physically or virtually:

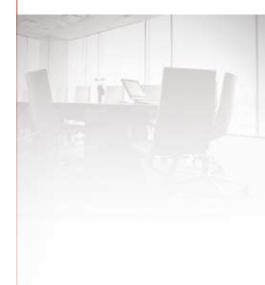
- Intimidation
- Bullying
- Stalking
- Verbal or physical aggression
- Threats to persons or property

- Harassment, sexual or otherwise
- Assault, sexual or otherwise
- Retaliation
- Coercion
- Dating-related or domestic violence

#### Q&A

Q: When I walk out to my car at night, I notice some of the security lights in the parking lot are out. I'm concerned about walking to and from my vehicle alone at night. What should I do?

A: The University is committed to providing a safe work environment for our employees, students, and visitors. Any safety or security concerns should be reported immediately to a campus safety coordinator or front-desk security for immediate assistance.



# Seeking assistance

Individuals should immediately call emergency 911 for police assistance if they believe the situation warrants it. Our Security Operations Center (SOC) should be contacted after disconnecting with 911. In cases where emergency 911 is not warranted, the SOC should be contacted when an immediate threat to persons or property exists or if guidance is needed related to a safety concern, whether at work on campus or in the virtual workplace.

The SOC is available 24 hours a day, 7 days a week at **866-992-3301** or **SOC@phoenix.edu.** 

For questions or concerns related to safety procedures or to report unsafe workplace conditions, contact a manager, the campus safety coordinator, the SOC or

Office.ComplianceUOPX@phoenix.edu for assistance.



## Thank you

We sustain our ethical culture by living our values and upholding our principles every day.

This means making the ethical choice even when it may not be the easiest or the most popular. In doing so, we will continue to be a University community comprised of ethical people empowered to make ethical decisions.

Please contact Ethics, Compliance, and Data Privacy at Office.ComplianceUOPX@phoenix.edu with questions.

